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1. SEBI vide. its circular dated July 23, 2026 has introduced a revised and standardised framework for transmission of securities following the death of the sole holder or all joint holders. The circular aims to simplify the transmission process through a risk-based and value-based approach. It introduces three processing categories with simplified documentation requirements, making the process faster, more efficient and investor friendly.

For Further Information : [Ease of Doing Investment and Ease of Doing Business – Simplification and standardisation of the framework for transmission of securities.](#)

2. SEBI vide its Circular dated January 30, 2026 has introduced a special window for the transfer and dematerialisation of physical securities that were sold/purchased prior to April 01, 2019. The circular aims to facilitate investors in obtaining rightful access to their securities by providing an opportunity to process fresh as well as previously rejected or returned transfer requests, subject to specified documentation and verification requirements. The special window shall remain open from February 05, 2026 to February 04, 2027, with securities transferred mandatorily credited in demat mode and subject to a one-year lock-in.

For Further Information: [Ease of Doing Investment – Special Window for Transfer and Dematerialisation of Physical Securities.](#)

Special window for transfer and dematerialisation of physical securities

- To facilitate ease of investing for investors and to secure their rights in securities purchased by them, SEBI has introduced a special window for **transfer and dematerialisation (“demat”) of physical securities** that were sold/purchased prior to **April 01, 2019**. The special window also covers transfer requests that were submitted earlier but were **rejected, returned or not attended to** due to deficiencies in documents, process or otherwise.
- This special window shall be open for a period of one year from **February 05, 2026, to February 04, 2027**. The applicability of the window is as follows:

Execution Date of Transfer Deed	Lodged for transfer before April 01, 2019?	Original Security Certificate Available?	Eligible to lodge in the Current window?
Before April 01, 2019	No (it is fresh lodgement)	Yes	Yes
Before April 01, 2019	Yes (it was rejected/ returned earlier)	Yes	Yes
Before April 01, 2019	Yes	No	No
Before April 01, 2019	No	No	No

- The securities transferred under this special window shall be mandatorily credited to the transferee only in demat mode and shall remain under lock-in for one year from the date of registration of transfer. During the lock-in period, such securities shall not be transferred, lien-marked or pledged.
- For more information and clarity, you may refer to the SEBI Circular No. HO/38/13/11(2)2026-MIRSD-POD/I/3750/2026 dated January 30, 2026 – *Ease of Doing Investment* – [Special Window for Transfer and Dematerialisation of Physical Securities](#).